DIRECTORATE OF DISTANCE EDUCATION
NALSAR UNIVERSITY OF LAW, HYDERABAD

P.G.DIPLOMA IN FINANCIAL SERVICES & LEGISLATIONS

Academic Year: 2019-2020

I Semester - Repeat Examination (February, 2020)

Paper I - 1.1.1. Financial Markets and Institutions

Time: 2 ½ hours. **TOTAL MARKS: 70**

INSTRUCTIONS TO CANDIDATES

1. **The questions to be interpreted as given and no clarification can be sought from the invigilator.**
2. **It is a closed book exam. No printed or handwritten materials / mobile phone / electronic devices will be allowed in the hall.**
3. **Read the questions carefully and answer. Logical assumptions can be made, wherever needed.**
4. **Answers without Question numbers will not be marked.**
5. **Answers in illegible handwriting will not be taken into consideration.**

Section-A : ***Answer any 5 of the seven questions given below. Each question carries 3 marks***
(5 x 3 = 15 marks)

1) Statutory Provident Fund
2) National Pension Scheme
3) Capital Protection Fund
4) Asset Finance Company
5) Commercial Bill
6) Banking Department of RBI
7) Personal Financial Management Vs. Wealth Management

Section-B : ***Answer any 5 of the seven questions given below. Each question carries 5 marks***
(5 x 5 = 25 marks)

8) How is money market regulated in India?
9) Explain the importance of Capital Markets in India.
10) What is a financial intermediary? Explain the role played by them in the Indian Financial system.
11) What is a Debenture? Explain the Debenture categories based on convertibility.
12) Differentiate the functioning of Regional Rural Banks and Development Banks
13) How NBFCs and banks differ? List the category of NBFCs
14) Briefly explain about CRISIL and SMERA.

Section-C : ***Answer any 3 of the five questions given below. Each question carries 10 marks***
(3 x 10 = 30 marks)

15) What are Preference shares? Explain various types of preference shares a company can issue.
16) Explain the evolution of Insurance Industry in India and the importance of IRDA.
17) Explain the evolution and growth of Markets in India and their importance.
18) Explain in detail the role and functioning of Development and Cooperative Banks in India.
19) What is your understanding of Investment banking and the underlying services offered? Explain.